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| | | | |
|---|--|-------------------------------|--|
| UTILITY PATENT APPLICATION TRANSMITTAL | | Attorney Docket No. | MP0052.C1 |
| | | First Inventor | SUTARDJA, et al. |
| | | Title | Precompensation Circuit for Magnetic Recording |
| | | Express Mail Label No. | |

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | | | |
|---|--|---|--|
| APPLICATION ELEMENTS | | ADDRESS TO: | |
| See MPEP chapter 600 concerning utility patent application contents. | | | |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i> | | Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 | |
| 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | | | |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 29] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure | | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies | |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 5] | | | |
| 5. Oath or Declaration [Total Pages 2] | | | |
| a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <i>(for continuation/divisional with Box 18 completed)</i> | | | |
| i. <input type="checkbox"/> DELETION OF INVENTOR(S) <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i> | | | |
| 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76 | | | |
| 9. <input checked="" type="checkbox"/> Two Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <ul style="list-style-type: none"> <input checked="" type="checkbox"/> Power of Attorney (ASSOCIATE) | | | |
| 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <ul style="list-style-type: none"> <input type="checkbox"/> Copies of IDS Citations | | | |
| 13. <input checked="" type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i> | | | |
| 15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i> | | | |
| 16. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. | | | |
| 17. <input type="checkbox"/> Other: | | | |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No.: **09 / 874,949**

Prior application information:

Examiner: **Allan Faber**

Group Art Unit: **2651**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

| | | | |
|---|--------------|----------|---|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | 23624 | | <input type="checkbox"/> or <input type="checkbox"/> Correspondence address below |
| Name | | | |
| Address | | | |
| City | State | Zip Code | |
| Country | Telephone | Fax | |

| | | | |
|-------------------|--------------------------|-----------------------------------|-----------------|
| Name (Print/Type) | Andrew J. Bateman | Registration No. (Attorney/Agent) | 45,573 |
| Signature | <i>Andrew J. Bateman</i> | | Date 03/29/2004 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,314.00)

Complete if Known

| | |
|----------------------|-------------------------------------|
| Application Number | Cont. of 09/874,949, filed 06/05/01 |
| Filing Date | 03/29/2004 |
| First Named Inventor | SUTARDJA, et al. |
| Examiner Name | Unassigned |
| Art Unit | Unassigned |
| Attorney Docket No. | MP0052.C1 |

METHOD OF PAYMENT (check all that apply)

Check Credit card Money Order Other None

 Deposit Account:

Deposit Account **50-1710**
Account Name **KATTEN MUCHIN ZAVIS ROSENMAN**

The Director is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge above Deposit Account with any additional fees necessary UNDER 37 CFR 1.16 AND/OR 1.17 to maintain pendency of this application.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------------|--------------|--------------------|---------------|------------------------|---------------|
| 1001 770 | 2001 385 | | | Utility filing fee | 770.00 |
| 1002 340 | 2002 170 | | | Design filing fee | |
| 1003 530 | 2003 265 | | | Plant filing fee | |
| 1004 770 | 2004 385 | | | Reissue filing fee | |
| 1005 160 | 2005 80 | | | Provisional filing fee | |
| SUBTOTAL (1) | | (\$ 770.00) | | | |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| | | Extra Claims | Fee from below | Fee Paid |
|--------------------|----|--------------|----------------|-----------------|
| Total Claims | 41 | -20** = 21 | x 18.00 | = 378.00 |
| Independent Claims | 4 | - 3** = 1 | x 86.00 | = 86.00 |
| Multiple Dependent | | | 290.00 | = 0.00 |

| Large Entity | Small Entity | Fee Description |
|---------------------|--------------|--|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 86 | 2201 43 | Independent claims in excess of 3 |
| 1203 290 | 2203 145 | Multiple dependent claim, if not paid |
| 1204 86 | 2204 43 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | (\$ 464.00) |

*or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|--|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for <i>ex parte</i> reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | Extension for reply within first month | |
| 1252 420 | 2252 210 | Extension for reply within second month | |
| 1253 950 | 2253 475 | Extension for reply within third month | |
| 1254 1,480 | 2254 740 | Extension for reply within fourth month | |
| 1255 2,010 | 2255 1,005 | Extension for reply within fifth month | |
| 1401 330 | 2401 165 | Notice of Appeal | |
| 1402 330 | 2402 165 | Filing a brief in support of an appeal | |
| 1403 290 | 2403 145 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,330 | 2453 665 | Petition to revive - unintentional | |
| 1501 1,330 | 2501 665 | Utility issue fee (or reissue) | |
| 1502 480 | 2502 240 | Design issue fee | |
| 1503 640 | 2503 320 | Plant issue fee | |
| 1460 130 | 1460 130 | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(q) | |
| 1806 180 | 1806 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | 80.00 |
| 1809 770 | 2809 385 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 770 | 2810 385 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 770 | 2801 385 | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

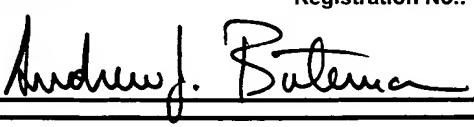
SUBTOTAL (3) (\$ 80.00)

SUBMITTED BY

Name: Andrew J. Bateman

Registration No.: 45,573

Telephone: (202) 625-3547

Signature:  Date: 03/29/2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Pantas SUTARDJA, et al.) Examiner: Unassigned
Application No.: Unassigned)
(Continuation of U.S. Application No.:) Group Art Unit: Unassigned
09/874,949, filed June 5, 2001)
Filed: March 29, 2004) Date: March 29, 2004
For: PRECOMPENSATION CIRCUIT)
FOR MAGNETIC RECORDING)

REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122 (b)(2)(B)(i)

Sir:

Applicants hereby certify that the invention disclosed in the attached application has not been and is not intended, at this time, to be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

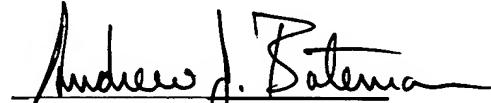
Applicants hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Applicants reserve the right to subsequently file an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing. If such an application is filed, Applicants will notify the United States Patent and Trademark Office of the filing within forty-five (45) days after the date of the filing of such foreign or international application.

Applicants' undersigned attorney may be reached in the Washington, D.C. office by telephone at (202) 625-3547. All correspondence should continue to be directed to the address given below.

Respectfully submitted,

KATTEN MUCHIN ZAVIS ROSENMAN



Attorney for Applicants

Andrew J. Bateman

Registration No.: 45,573

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